

Applicant or Patentee (Inventors): (1) Shien-Chang CHEN
(發明人名字) (2) Fu-Shen LINSerial No. or Patent No. (if known): (3) Liang-An HSU
(申請號或專利號) (4) Pi-Fwu JANGFiled or Issued (if known):
(申請日或公告日)For (invention title): CATALYST FOR OXACYLATION AND USE OF THE SAME
(發明名稱)

SMALL ENTITY FORM - SMALL BUSINESS CONCERN

(VERIFIED STATEMENT/DECLARATION CLAIMING SMALL ENTITY STATUS, 37 CFR 1.9(f) and 1.27(b))

小企業聲明書 (申請人或受讓人是小企業時, 用此表格)

I hereby declare that I am

(若是小企業的業主, 則在下面第一個方括號內打“X”; 若是小企業的主管, 則在下面第二個方括號內打“X”)

(check one) ☒ the owner of the small business concern identified below:☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: (企業名稱)

ADDRESS OF CONCERN: (地址)

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the above-identified application or patent.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME *: (全名)

ADDRESS: (地址)

(註明一項)

☐ INDIVIDUAL
(個人)☐ SMALL BUSINESS CONCERN
(小企業)☐ NONPROFIT ORGANIZATION
(非贏利機構)

(如果沒有他人分享本發明的權利, 則左邊不必填寫; 但若有他人分享, 則需將他人名字、地址逐項填寫, 並需另附小企業聲明書)

FULL NAME *: (全名)

ADDRESS: (地址)

(註明一項)

☐ INDIVIDUAL
(個人)☐ SMALL BUSINESS CONCERN
(小企業)☐ NONPROFIT ORGANIZATION
(非贏利機構)

FULL NAME *: (全名)

ADDRESS: (地址)

(註明一項)

☐ INDIVIDUAL
(個人)☐ SMALL BUSINESS CONCERN
(小企業)☐ NONPROFIT ORGANIZATION
(非贏利機構)

* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: (簽字人的名字) Su-Hon LIN

Title of Person Signing: (簽字人的頭銜) President

Address of Person Signing: (簽字人的地址) 7th, Fl., 301, Songkiang Road, Taipei, Taiwan, R.O.C.

SIGNATURE
(簽名)DATE
(日期)

November 20, 1999